

# Logic and Discrete Structures - LDS



Course 11 – First order logic

s.l. dr. ing. Cătălin Iapă

[catalin.iapa@cs.upt.ro](mailto:catalin.iapa@cs.upt.ro)



## **Predicate logic - syntax**

Formalization of natural language

Resolution

Proofs in Predicate Logic

Semantics in Predicate Logic

## Logic: review

We use logic to rigorously express (formalize) **reasoning**.

Logic allows us to make **demonstrations (inferences)**

- from **axioms** (always true)
- and **hypotheses** (considered true in the given problem)
- using **rules of inference** (deduction)

$$\frac{p \quad p \rightarrow q}{q}$$

*modus ponens*

## Propositional logic cannot express everything

- A classic example:
- (1) All humans are mortal.
  - (2) Socrates is human.
  - (3) So, (3) Socrates is mortal.

This is a syllogism (pattern of inference rule)

classical logic: Aristotle, Stoics

It looks like modus ponens

- but the premise in (1) ("all men")
- is not the same as (2) (Socrates, a certain man)

We could rephrase (1): If X is human, then X is mortal.  
more precisely: For any X, if X is human, then X is mortal.

Modern logic: predicate logic ([first-order logic](#))

Gottlob Frege, Charles Peirce (19th century)

## We need more expressive formulas

Formulas consist of **predicates** linked by logical **connectors**

$\forall x ((folder(x) \wedge x \neq root) \rightarrow contains(parent(x), x))$

Instead of propositions (a, p, q) we have predicates : *file(x)*, *contains(x, y)*

A **predicate** = a statement relative to one or more variables, which, by giving values to variables, can take the value true or false.

Predicates have arguments **terms**: **variables** x / **functions**: parent(x)  
intuitive: represent objects/notions and functions in the universe

New: quantifiers appear:  $\forall$  (any),  $\exists$  (exist)

Define **first-order logic**

# Syntax of predicate logic: Terms

We define, structurally recursively, the notions of term and formula:

## Terms

- variable  $v$

$f(t_1, \dots, t_n)$  with  $f$   $n$ -ary function and  $t_1, \dots, t_n$  *terms*

Exemple:  $parent(x)$ ,  $cmmdc(x, y)$ ,  $\max(\min(x, y), z)$

- constant  $c$ : special case, function of zero arguments

# Syntax of predicate logic: formulas

*Formulas* (well-formed formulas):

- $P(t_1, \dots, t_n)$  with P predicate of n arg. And  $t_1, \dots, t_n$  *terms*

Exemple: *contains(empty, x)*, *divide(cmmdc(x, y), x)*

- *proposition p*: particular case, predicate of zero arguments

$\neg \alpha$             where  $\alpha$  is a formula

$\alpha \rightarrow \beta$         with  $\alpha, \beta$  formulas

$\forall v \alpha$             with variable  $v$ ,  $\alpha$  formula: **universal quantification**

Exemple:  $\forall x \neg \text{contains}(\text{empty}, x)$ ,  $\forall x \forall y \text{divide}(\text{cmmdc}(x, y), x)$

$t_1 = t_2$         with  $t_1, t_2$  terms (in first-order logic with equality)

Exemple:  $\text{min}(x, \text{min}(y, z)) = \text{min}(\text{min}(x, y), z)$

## About quantifiers. Existential quantifier $\exists$

Denote:  $\exists x \phi \stackrel{\text{def}}{=} \neg \forall x (\neg \phi)$   $\phi$  - a formula

There are  $x$  for which  $\phi$  is true  $\leftrightarrow$  not for every  $x$   $\phi$  is false. The two quantifiers are **dual**. We can also write  $\forall x \phi = \neg \exists x (\neg \phi)$

The quantifiers have higher precedence than the connectives  $\neg, \wedge, \rightarrow$   
 $\Rightarrow$  if the quantified formula has  $\wedge, \vee, \rightarrow$  we use parentheses:

$$\exists x (P(x) \rightarrow Q(x)) \quad \forall y (Q(y) \wedge R(x, y))$$

Other notation: **dot** . quantifier applies to all the rest of the formula, up to the end or closed parenthesis

$$P(x) \vee \forall y.Q(y) \wedge R(x, y) \quad (R(y) \vee \exists x.P(x) \rightarrow Q(x)) \wedge S(x)$$



## Distributivity of quantifiers to $\wedge$ and $\vee$

The **universal quantifier** is distributive to **the conjunction**:

$$\forall x (P(x) \wedge Q(x)) \leftrightarrow \forall x P(x) \wedge \forall x Q(x)$$

but the existential quantifier **is NOT** distributive to the conjunction:

$$\exists x (P(x) \wedge Q(x)) \not\leftrightarrow (\exists x P(x) \wedge \exists x Q(x))$$

we have implication  $\rightarrow$ , but not the converse, it may not be the same  $x$  !

Dual,  $\exists$  is distributive to disjunction:

$$\exists x P(x) \vee \exists x Q(x) \leftrightarrow \exists x.P(x) \vee Q(x)$$

$\forall$  is **NOT** distributive to disjunction. We just have:

$$\forall x P(x) \vee \forall x Q(x) \rightarrow \forall x.P(x) \vee Q(x)$$



Predicate logic - syntax

**Formalization of natural language**

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# Formalising natural language

Formulas contain: **variables, functions, predicates**.

- **Verbs** become **predicates** (as in natural language):

*buys(X, Y), subtracts(X),*

- **Subject** and (in)direct **complements**: **predicate** arguments
- **Attributes (properties)** become **predicates** about argument-values

*glad(X), golden(Y)*

Variables in formulas can take **values of any kind** from **the universe**

- **Categories** also become **predicates**, with object argument of that kind  
*child(X), notebook(X)*
- **Single entities** become **constants**:  
*mary, emptyset, santaclaus*

## Example of formalisation (1)

1. *Each investor bought shares or bonds.*

Quantifiers introduce variables with arbitrary values from the universe

⇒ impose **categories** by **additional predicates**

⇒ introduce a predicate **inv (X)** (**X is investor**)

For any X, if X is investor, it has done something

$$\forall X. \text{inv}(X) \rightarrow \boxed{\text{what } X \text{ does}}$$

What does it say about the investor? Is there anything he bought

$$\forall X. \text{inv}(X) \rightarrow \exists C. \text{bought}(X, C) \wedge \boxed{\text{what we know about } C}$$

$$\forall X. \text{inv}(X) \rightarrow \exists C. \text{bought}(X, C) \wedge (\text{shares}(C) \vee \text{bonds}(C))$$

## Example of formalisation (2)

2. *If the Dow Jones falls, all shares except gold fall.*

The Dow Jones index is a single notion  $\Rightarrow$  we use a **constant dj**  
alternatively: we could also use **a sentence falldj**

$fall(dj) \rightarrow$  what happens

$fall(dj) \rightarrow \forall X.$  conditions for X  $\rightarrow fall(X)$

$fall(dj) \rightarrow \forall X. shares(X) \wedge \neg gold(X) \rightarrow fall(X)$

## Example of formalisation (3)

3. *If the Treasury increases interest, all bonds fall.*

*increasesinterest*  $\rightarrow \forall X.bonds(X) \rightarrow fall(X)$

Interest is the only thing in the problem that increases  $\Rightarrow$

**alternative sentence:** a constant interest + predicate increases

*increases(interest)*

## Example of formalisation (4)

4. Any investor who bought something that decreases is not happy.

$$\forall X.inv(X) \rightarrow \boxed{\text{what we know about } X}$$

$$\forall X.inv(X) \rightarrow \boxed{\text{condition for } X} \rightarrow \neg happy(X)$$

$$\forall X.inv(X) \rightarrow (\exists C.bought(X, C) \wedge decreases(C)) \rightarrow \neg happy(X)$$

## Example of formalisation (5)

5. *If the Dow Jones index falls and the Treasury raises interest rates, all the happy investors have bought a few shares of gold.*

$fall(dj) \wedge increasesinterest \rightarrow$  *what happens*

$fall(dj) \wedge increasesinterest \rightarrow$   
 $\forall X.inv(X) \wedge happy(X) \rightarrow$  *what we know about X*

$fall(dj) \wedge increasesinterest \rightarrow \forall X.inv(X) \wedge happy(X) \rightarrow$   
 $\exists C.bought(X, C) \wedge shares(C) \wedge gold(C)$



## Beware of quantifiers!

The universal quantifier ("all") quantifies an implication: All

students are young people

$student \subseteq young$

$$\forall x. student(x) \rightarrow young(x)$$

**Common error:**  $\wedge$  instead of  $\rightarrow$ :  ~~$\forall x. student(x) \wedge young(x)$~~

Anyone/anyone in the universe is both a student and a young!

The existential quantifier ("some", "exists") quantifies a conjunction.

There are student prize winners.

$Winner \cap Student \neq \emptyset$

$$\exists x. winner(x) \wedge student(x)$$

**Common error:**  $\rightarrow$  instead of  $\wedge$ :  ~~$\exists x. winner(x) \rightarrow student(x)$~~

It's true if there is a non-premium! (false implies anything)



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## After translation into logic, we can prove it!

Having **an infinity of interpretations** (values in the universe, functions, values for relations/predicates), we cannot write truth tables.

But we can make **demonstrations (inferences)** according to **rules of inference** (purely syntactic), as in propositional logic.

## Demonstration by resolution method

A formula is **valid** if and only if its **negation** is a **contradiction**.

We can prove a theorem by **indirect proof** (reduction to the absurd) by showing that its negation is an (unfeasible) **contradiction**.

Let the hypotheses  $A_1, A_2, \dots, A_n$  and conclusion  $C$ .

Let the theorem  $A_1 \wedge A_2 \dots \wedge A_n \rightarrow C$

i.e.: assumptions  $A_1, A_2, \dots$  together imply conclusion  $C$

*Negation of implication:*  $\neg(H \rightarrow C) = \neg(\neg H \vee C) = H \wedge \neg C$

So we show that  $A_1 \wedge A_2 \dots \wedge A_n \wedge \neg C$  is a **contradiction** (indirect proof: true hypothesis + false conclusion is impossible)

We show that a formula is a contradiction by **the resolution method**.

## Resolution in propositional calculus

Resolution is a **rule of inference** that produces a **new clause** from two clauses with **complementary literals** ( $p$  and  $\neg p$ ).

$$\frac{p \vee A \quad \neg p \vee B}{A \vee B} \quad \text{resolution}$$

"From clauses  $p \vee A$  and  $\neg p \vee B$  we deduce/derive clause  $A \vee B$ "

Clause obtained = **resolvent** of the two clauses with regard to  $p$

Exemple:  $rez_p(p \vee q \vee \neg r, \neg p \vee s) = q \vee \neg r \vee s$

**Modus ponens** can be seen as a particular case of **resolution**:

$$\frac{p \vee \text{false} \quad \neg p \vee q}{\text{false} \vee q}$$

## Resolution example (1)

$$\begin{array}{ll} (a \vee \neg b \vee \neg d) & b \text{ negated} \\ \wedge (\neg a \vee \neg b) & b \text{ negated} \\ \wedge (\neg a \vee c \vee \neg d) & \\ \wedge (\neg a \vee b \vee c) & b \text{ positive} \end{array}$$

We take a sentence with both polarities (b) and construct solvers

$$\text{rez}_b(a \vee \neg b \vee \neg d, \neg a \vee b \vee c) = a \vee \neg d \vee \neg a \vee c = T$$

$$\text{rez}_b(\neg a \vee \neg b, \neg a \vee b \vee c) = \neg a \vee \neg a \vee c = \neg a \vee c$$

We add the new solvers (ignore T); we remove the old clauses with b

$$\begin{array}{l} (\neg a \vee c \vee \neg d) \\ \wedge (\neg a \vee c) \end{array}$$

We can no longer create solvers. We have no empty clause.  $\Rightarrow$  the formula is satisfiable, e.g. with  $a = F$ . Or with  $c = T$ .

## Resolution example (2)

$$\begin{array}{l} a \\ \wedge (\neg a \vee b) \\ \wedge (\neg b \vee c) \quad c \text{ positive} \\ \wedge (\neg a \vee \neg b \vee \neg c) \quad c \text{ negated} \end{array}$$

We apply the resolution after c, we have one pair of clauses:  
 $rez_c(\neg b \vee c, \neg a \vee \neg b \vee \neg c) = \neg b \vee \neg a \vee \neg b = \neg a \vee \neg b$

We remove the two clauses with c and add the new clause:

$$\begin{array}{l} a \\ \wedge (\neg a \vee b) \\ \wedge (\neg a \vee \neg b) \end{array}$$

We apply the resolution to b:

$$rez_b(\neg a \vee b, \neg a \vee \neg b) = \neg a \vee \neg a = \neg a$$

Remove the two b clauses, add the new clause:

$$\begin{array}{l} a \\ \wedge \neg a \end{array}$$

We apply the resolution after a:  $rez_a(a, \neg a) = F$  (empty clause)

So the original formula is a contradiction (it's infeasible).

## Applying resolution in propositional calculus

Starting from a formula in conjunctive normal form (CNF), we add resolvents, trying to get the empty clause:

We choose a sentence  $p$  and add all resolvents relative to  $p$ : from  $m$  clauses with  $p$  and  $n$  clauses with  $\neg p$ , we create  $m \cdot n$  resolvents we have removed  $p \Rightarrow$  we delete the original  $m+n$  clauses

If any solver is empty clause, the formula is infeasible

If we can't create any more resolvents (literals have single polarity), the formula is satisfiable (make T all remaining literals)

The number of clauses can increase exponentially (problematic!)



## Resolution: from sentences to predicates

In predicate logic, a **literal** is not a **sentence**, but a **predicate** not just  $p$  and  $\neg p$ , but  $P(\text{arg } 1)$  and  $\neg P(\text{arg } 2)$  (different arguments)

To derive a new clause from  $A \vee P(\text{arg } 1)$  and  $B \vee \neg P(\text{arg } 2)$  we must try to bring the arguments to a common expression.

We will have clauses with universal quantified default variables can take any value  $\Rightarrow$  **we can substitute them with terms**

# Substitutions and mergers of terms

A **substitution** is a **function** that associates terms with variables:

$$\{x_1 \mapsto t_1, \dots, x_n \mapsto t_n\}$$

Two terms can be **unified** if there is a substitution that makes them equal

$$f(x, g(y, z), t) \{x \mapsto h(z), y \mapsto h(b), t \mapsto u\} = f(h(z), g(h(b), z), u)$$

## Unification rules

A **variable**  $x$  can be unified with any **term**  $t$  (substitution) if  $x$  does not appear in  $t$  (otherwise, substituting gives an infinite term)

so no:  $x$  with  $f(h(y), g(x, z))$

**Two terms**  $f(\dots)$  can be unified only if they have the same function, and the arguments (terms) can be unified (position by position)

**Two constants** (functions with 0 arg.)  $\Rightarrow$  unified if they are identical

## Resolution in predicate calculus

Either clauses: A with **positive**  $P(\dots)$  and B with  **$\neg P(\dots)$**  (negated)

Example:

A:  $P(x, g(y)) \vee P(h(a), z) \vee Q(z)$

B:  $\neg P(h(z), t) \vee R(t, z)$

We choose some ( $\geq 1$ )  $P(\dots)$  from A and some  $\neg P(\dots)$  from B.

**Rename** common variables (not related between A and B)

A:  $P(x, g(y)) \vee P(h(a), z) \vee Q(z)$  B:  $\neg P(h(z_2), t) \vee R(t, z_2)$

**We unify** (all at once) only those  $P(\dots)$  in A and  $\neg P(\dots)$  in B chosen  
 $\{P(x, g(y)), P(h(a), z), P(h(z_2), t)\} x' \rightarrow h(a); z_2' \rightarrow a; z, t' \rightarrow g(y)$

**We eliminate**  $P(\dots)$  and  $\neg P(\dots)$  chosen from  $A \vee B$ . We apply the **substitution** resulting from unification and add the new clause to the list of clauses.

$$Q(g(y)) \vee R(g(y), a)$$

We keep the **original clauses**, they can be used with other predicate choices.

## Resolution: in conclusion

Repeatedly generate **new clauses** (resolvers) by **resolution with merging**

If repeating yields the empty clause, the original formula is **infeasible**.

If we find no new resolvers, the original formula is **satisfiable**.

Recall: we started by trying to prove

$$A1 \wedge A2 \wedge \dots \wedge An \rightarrow C$$

by reduction to the absurd, denying the conclusion and showing that

$$A1 \wedge A2 \wedge \dots \wedge An \wedge \neg C \text{ is a contradiction}$$

The **method of resolution is complete relative to the refutation for any non-realizable formula**, will arrive at the empty clause but cannot determine the realizability of any formula

(there are formulas for which it runs to infinity)

## Example of application of the resolution

We resume the exercise formalised above.

We use  $()$  and not  $.$  to avoid mistakes when applying quantification.

$$A_1: \forall X (inv(X) \rightarrow \exists C (cump(X, C) \wedge (act(C) \vee oblig(C))))$$

$$A_2: scadedj \rightarrow \forall X (act(X) \wedge \neg aur(X) \rightarrow scade(X))$$

$$A_3: crestedob \rightarrow \forall X (oblig(X) \rightarrow scade(X))$$

$$A_4: \forall X (inv(X) \rightarrow (\exists C (cump(X, C) \wedge scade(C)) \rightarrow \neg bucur(X)))$$

$$C: scadedj \wedge crestedob \rightarrow$$

$$\forall X (inv(X) \wedge bucur(X) \rightarrow \exists C (cump(X, C) \wedge act(C) \wedge aur(C)))$$

**We negate the conclusion at the beginning,** before turning quantifiers!

$$\neg C: \neg(scadedj \wedge crestedob \rightarrow$$

$$\forall X (inv(X) \wedge bucur(X) \rightarrow \exists C (cump(X, C) \wedge act(C) \wedge aur(C))))$$

We remove the implication, take the negation down to the predicate

1. **Remove the implication** :  $A \rightarrow B = \neg A \vee B$ ,  $\neg(A \rightarrow B) = A \wedge \neg B$

Any transformation in a formula does NOT affect what is outside of it!

In  $\forall x A$ , transforming however on  $A$  ( $\rightarrow$ ,  $\neg$ , ...) does NOT change  $\forall x$

**We take  $\neg$  inside** :  $\neg \forall x P(x) = \exists x \neg P(x)$      $\neg \exists x P(x) = \forall x \neg P(x)$

$A_1: \forall X (inv(X) \rightarrow \exists C (cump(X, C) \wedge (act(C) \vee oblig(C))))$   
 $\forall X (\neg inv(X) \vee \exists C (cump(X, C) \wedge (act(C) \vee oblig(C))))$

$A_2: scadedj \rightarrow \forall X (act(X) \wedge \neg aur(X) \rightarrow scade(X))$   
 $\neg scadedj \vee \forall X (\neg act(X) \vee aur(X) \vee scade(X))$

$A_3: crestedob \rightarrow \forall X (oblig(X) \rightarrow scade(X))$   
 $\neg crestedob \vee \forall X (\neg oblig(X) \vee scade(X))$

$A_4: \forall X (inv(X) \rightarrow (\exists C (cump(X, C) \wedge scade(C)) \rightarrow \neg bucur(X)))$   
 $\forall X (\neg inv(X) \vee \neg \exists C (cump(X, C) \wedge scade(C)) \vee \neg bucur(X))$   
 $\forall X (\neg inv(X) \vee \forall C (\neg cump(X, C) \vee \neg scade(C)) \vee \neg bucur(X))$

## Remove the implication, take the negation in (cont.)

$\neg C : \neg(\text{scadedj} \wedge \text{creștedob} \rightarrow$   
 $\forall X(\text{inv}(X) \wedge \text{bucur}(X) \rightarrow \exists C(\text{cump}(X, C) \wedge \text{act}(C) \wedge \text{aur}(C))))$

$\neg C : \text{scadedj} \wedge \text{creștedob} \wedge$   
 $\neg \forall X(\text{inv}(X) \wedge \text{bucur}(X) \rightarrow \exists C(\text{cump}(X, C) \wedge \text{act}(C) \wedge \text{aur}(C)))$

$\text{scadedj} \wedge \text{creștedob} \wedge$   
 $\exists X(\text{inv}(X) \wedge \text{bucur}(X) \wedge \neg \exists C(\text{cump}(X, C) \wedge \text{act}(C) \wedge \text{aur}(C)))$

$\text{scadedj} \wedge \text{creștedob} \wedge$   
 $\exists X(\text{inv}(X) \wedge \text{bucur}(X) \wedge \forall C(\neg \text{cump}(X, C) \vee \neg \text{act}(C) \vee \neg \text{aur}(C)))$

## Rename: unique names to quantified variables

3. We give **unique names** to the quantified variables in each formula so that we can later remove the quantifiers. For example:

$\forall x P(x) \vee \forall x \exists y Q(x, y)$     devine     $\forall x P(x) \vee \forall z \exists y Q(z, y)$

No need in our example:

$A_1: \forall X (\neg \text{inv}(X) \vee \exists C (\text{cump}(X, C) \wedge (\text{act}(C) \vee \text{oblig}(C))))$

$A_2: \neg \text{scadedj} \vee \forall X (\neg \text{act}(X) \vee \text{aur}(X) \vee \text{scade}(X))$

$A_3: \neg \text{creştedob} \vee \forall X (\neg \text{oblig}(X) \vee \text{scade}(X))$

$A_4: \forall X (\neg \text{inv}(X) \vee \forall C (\neg \text{cump}(X, C) \vee \neg \text{scade}(C)) \vee \neg \text{bucur}(X))$

$\neg C : \text{scadedj} \wedge \text{creştedob} \wedge$

$\exists X (\text{inv}(X) \wedge \text{bucur}(X) \wedge \forall C (\neg \text{cump}(X, C) \vee \neg \text{act}(C) \vee \neg \text{aur}(C)))$



## Skolemization: eliminating existential quantifiers

4. **Skolemization**: in  $\forall x_1 \dots \forall x_n \exists y$ , the choice of  $y$  depends on  $x_1, \dots, x_n$ ; we introduce a new Skolem function  $y = g(x_1, \dots, x_n)$ ,  $\exists y$  disappears

$$A_1: \forall X (\neg \text{inv}(X) \vee \exists C (\text{cump}(X, C) \wedge (\text{act}(C) \vee \text{oblig}(C))))$$

$C$  of  $\exists$  depends on  $X \Rightarrow C$  becomes a new function  $f(X)$ ,  $\exists C$  disappears

$$\forall X (\neg \text{inv}(X) \vee (\text{cump}(X, f(X)) \wedge (\text{act}(f(X)) \vee \text{oblig}(f(X))))))$$

Attention! each  $\exists$  quantifier gets a new Skolem function!

For  $\exists y$  outside any  $\forall$ , we choose a new Skolem constant

$$\neg C : \text{scadedj} \wedge \text{creştedob} \wedge \exists X (\text{inv}(X) \wedge \text{bucur}(X) \\ \wedge \forall C (\neg \text{cump}(X, C) \vee \neg \text{act}(C) \vee \neg \text{aur}(C)))$$

$X$  becomes a new constant  $b$  (depends on nothing),  $\exists X$  disappears

$$\text{scadedj} \wedge \text{creştedob} \wedge \text{inv}(b) \wedge \text{bucur}(b) \\ \wedge \forall C (\neg \text{cump}(b, C) \vee \neg \text{act}(C) \vee \neg \text{aur}(C))$$

## Normal prenex shape. Eliminate universal quantifiers

5. Bringing **universal quantifiers to the front**: prenex normal form

6.A<sub>4</sub>:  $\forall X (\neg \text{inv}(X) \vee \forall C (\neg \text{cump}(X, C) \vee \neg \text{scade}(C)) \vee \neg \text{bucur}(X))$

$\forall X \forall C (\neg \text{inv}(X) \vee \neg \text{cump}(X, C) \vee \neg \text{scade}(C) \vee \neg \text{bucur}(X))$

### 6. Eliminate universal quantifiers

(become default, a variable can be replaced by any term).

A<sub>1</sub>:  $(\neg \text{inv}(X) \vee (\text{cump}(X, f(X)) \wedge (\text{act}(f(X)) \vee \text{oblig}(f(X))))$

A<sub>2</sub>:  $\neg \text{scadedj} \vee \neg \text{act}(X) \vee \text{aur}(X) \vee \text{scade}(X)$

A<sub>3</sub>:  $\neg \text{creştedob} \vee \neg \text{oblig}(X) \vee \text{scade}(X)$

A<sub>4</sub>:  $\neg \text{inv}(X) \vee \neg \text{cump}(X, C) \vee \neg \text{scade}(C) \vee \neg \text{bucur}(X)$

$\neg C : \text{scadedj} \wedge \text{creştedob} \wedge \text{inv}(b) \wedge \text{bucur}(b)$   
 $\wedge (\neg \text{cump}(b, C) \vee \neg \text{act}(C) \vee \neg \text{aur}(C))$

## Clausal form

7. We take the **conjunction outside the disjunction** (distributivity) and write each clause separately (clause form, CNF)

$\neg \text{inv}(X) \vee \text{cump}(X, f(X))$

(1)  $\neg \text{inv}(X) \vee \text{act}(f(X)) \vee \text{oblig}(f(X))$

(2)  $\neg \text{scadedj} \vee \neg \text{act}(X) \vee \text{aur}(X) \vee \text{scade}(X)$

(3)  $\neg \text{creştedob} \vee \neg \text{oblig}(X) \vee \text{scade}(X)$

(4)  $\neg \text{inv}(X) \vee \neg \text{cump}(X, C) \vee \neg \text{scade}(C) \vee \neg \text{bucur}(X)$

(5)  $\text{scadedj}$

(6)  $\text{creştedob}$

(7)  $\text{inv}(b)$

(8)  $\text{bucur}(b)$

(9)  $\neg \text{cump}(b, C) \vee \neg \text{act}(C) \vee \neg \text{aur}(C)$

## We generate resolvers down to the empty clause

We search for predicates  $P(\dots)$  and  $\neg P(\dots)$  and unify, obtaining solvers:

$$(11) \neg act(X) \vee aur(X) \vee scade(X) \quad (3, 6)$$

$$(12) \neg cump(b, C) \vee \neg act(C) \vee scade(C) \quad (10, 11, X = C)$$

$$(13) \neg oblig(X) \vee scade(X) \quad (4, 7)$$

Când unificăm, redenumim clauzele să nu aibă variabile comune:

$$(13) \neg oblig(Y) \vee scade(Y) \quad \text{vom unifica cu (2), redenumim } X$$

$$(14) \neg inv(X) \vee act(f(X)) \vee scade(f(X)) \quad (2, 13, Y = X)$$

$$(15) \neg cump(b, f(X)) \vee \neg inv(X) \vee scade(f(X)) \quad (12, 14, C = f(X))$$

$$(16) \neg cump(b, C) \vee \neg scade(C) \vee \neg bucur(b) \quad (5, 8, X = b)$$

$$(17) \neg cump(b, C) \vee \neg scade(C) \quad (9, 16)$$

$$(18) \neg cump(b, f(X)) \vee \neg inv(X) \quad (15, 17, C = f(X))$$

$$(19) \neg inv(b) \quad (1, 18, X = b)$$

$$(20) \emptyset \text{ (contradiction = success in indirect proof)} \quad (8, 19)$$



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## Axioms of predicate calculus

A1:  $\alpha \rightarrow (\beta \rightarrow \alpha)$  (A1-A3 from propositional logic)

A2:  $(\alpha \rightarrow (\beta \rightarrow \gamma)) \rightarrow ((\alpha \rightarrow \beta) \rightarrow (\alpha \rightarrow \gamma))$

A3:  $(\neg\beta \rightarrow \neg\alpha) \rightarrow (\alpha \rightarrow \beta)$

A4:  $\forall x(\alpha \rightarrow \beta) \rightarrow (\forall x\alpha \rightarrow \forall x\beta)$

A5:  $\forall x\alpha \rightarrow \alpha[x \leftarrow t]$  if x can be substituted\* by t in  $\alpha$

A6:  $\alpha \rightarrow \forall x\alpha$  if x does not occur freely in  $\alpha$

\*Define: we can substitute the variable x with the term t in  $\forall y\phi$  if:  
x does not occur freely in  $\phi$  (the substitution has no effect) or  
x can substitute with t in  $\phi$  and y does not appear in t  
(we cannot substitute related variables)

In the logic with equality, we also add

A7:  $x = x$

A8:  $x = y \rightarrow \alpha = \beta$

where  $\beta$  is obtained from  $\alpha$  by replacing any occurrences of x by y .

Rule of inference: **modus ponens** is sufficient:

$$\frac{A \quad A \rightarrow B}{B}$$

# Deduction

Let  $H$  be a lot of formulas. A **deduction (proof)** from  $H$  is a string of formulas  $A_1, A_2, \dots, A_n$ , such that  $\forall i \in 1, n$

1.  $A_i$  is **an axiom**, or
2.  $A_i$  is **a hypothesis** (a formula from  $H$ ), or
3.  $A_i$  follows by **modus ponens** from the previous  $A_j, A_k (j, k < i)$

We say that  $A_n$  follows from  $H$  (it is deductible, it is a consequence).

We denote:

$$H \vdash A_n$$

## Other inference rules

$$\frac{\forall x \phi(x)}{\phi(c)} \quad \text{universal instantiation (see A5)}$$

where  $c$  is an arbitrary constant (not previously shown in the proof)  
If  $\phi$  is valid for any  $x$ , then also for an arbitrary value  $c$ .

$$\frac{\phi(c)}{\forall x \phi(x)} \quad \text{universal generalisation (see A6)}$$

where  $c$  is an arbitrary value (does not appear in assumptions)  
If  $\phi$  is valid for an arbitrary value, it is valid for any  $x$ .

$$\frac{\exists x \phi(x)}{\phi(c)} \quad \text{existential instantiation}$$

If a value with property  $\phi$  exists, we instantiate it (with a new name).

$$\frac{\phi(c)}{\exists x \phi(x)} \quad \text{existential generalization}$$

If  $\phi$  is true for a value, there is a value that makes it true





Predicate logic - syntax  
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# Semantics

We define the notions:

model

interpretation

universe

semantic consequence

## How do we interpret a formula?

Intuitively, we find a meaning for each symbol in the formula:

An interpretation (structure)  $I$  in predicate logic consists of:

- a non-empty manifold  $U$  called the universe or domain of  $I$  (the set of values that variables can take)
- for any constant symbol  $c$ , a value  $c^I \in U$
- for any  $n$ -ary function symbol  $f$ , a function  $f^I : U^n \rightarrow U$
- for any  $n$ -ary predicate symbol  $P$ , a submultiplet  $P^I \subseteq U^n$ .  
(an  $n$ -ary relation on  $U$ )

So we give an interpretation to each symbol in the formula.

An interpretation does not give values to variables (see later: assignment).

## Examples of interpretations

$$\forall x \forall y \forall z. P(x, y) \wedge P(y, z) \rightarrow P(x, z)$$

transitivity

For example:

universe  $U =$  real numbers;

predicate  $P$ : relation  $\leq$

$$\exists e \forall x \neg A(x, e)$$

the existence of the empty set:

predicate  $A(x, y) \Leftrightarrow x \in y$

## Logical implication (semantic consequence)

Let  $H$  be a formula set and  $C$  a formula.

We denote  $I \models H$  if  $I$  is a model for every formula in  $H$ .

We say that  $H$  implies  $C$  ( $H \models C$ ) if for any interpretation  $I$ ,

$I \models H$  implies  $I \models C$ .

( $C$  is true in **any interpretation** that satisfies all assumptions in  $H$ )

## There are more expressive logics than first-order logic

The principle of **mathematical induction** is (despite the name) **a rule of deduction** in the arithmetic theory of natural numbers

$$\forall P[P(0) \wedge \forall k \in \mathbb{N}.P(k) \rightarrow P(k + 1)] \rightarrow \forall n \in \mathbb{N} P(n)$$

formula in **2nd order logic** (quantification over predicates)

## Logic has its limitations

The theory of natural numbers with addition (Presburger arithmetic) is **decidable** (anything we can express about the addition of natural numbers is provable).

But: we cannot express divisibility, prime numbers, etc.

Peano's arithmetic (with addition and multiplication) is richer but it is **undecidable**: there are statements that cannot be decided whether they are true or not.

# Summary

We can translate (**formalize**) from natural language into first order logic

We can **prove theorems** by indirect proof:

- negate** the conclusion,

- transform to **clause form** (conjunction of disjunctions)

- by **resolution** method

- find a contradiction** (empty clause).





Thank you!

## Bibliography

The content of the course is based on the material from the LSD course taught by Prof. Dr. Eng. Marius Minea and S.I. Dr. Eng. Casandra Holotescu  
(<http://staff.cs.upt.ro/~marius/curs/lcd/index.html>)

The logic questions at the beginning of the course were taken from the Introduction to Logic course at Stanford University  
(<https://www.coursera.org/learn/logic-introduction>)